

P24116.P01 <div style="text-align: center;"><b>UTILITY PATENT APPLICATION TRANSMITTAL</b></div> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No. P24116	Total Pages 	Inventor(s) or Application Identifier: Yutaka TAKAKUBO  Title: SCANNING OPTICAL SYSTEM
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ADDRESS TO:	Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450
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APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)  2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. a. <input type="checkbox"/> Small Entity Statement(s)  3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) [Total Pages <u>39</u> ] - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>7</u> ]  5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.  7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)	9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies  10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)  12. <input type="checkbox"/> English Translation Document (if applicable)  13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  14. <input type="checkbox"/> Preliminary Amendment  15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  16. <input checked="" type="checkbox"/> Figure of Drawing to be published: <u>2</u>  17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)  18. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i). Applicant must attach form PTO/SB/35 or its equivalent.  19. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation, of Tokyo, Japan</u>  20. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u> _____ _____ _____ _____ _____

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. \_\_\_\_\_ / \_\_\_\_\_, filed \_\_\_\_\_.  
 Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

Address all future correspondence to **Customer No. 7055** at the present address of:

**GREENBLUM & BERNSTEIN, P.L.C.**  
 1950 Roland Clarke Place  
 Reston, VA 20191  
 (703) 716-1191

10/31/03  
\_\_\_\_\_  
Date

*Bernstein*  
\_\_\_\_\_  
Signature

Bruce H. Bernstein, Reg. No. 29,027  
 Typed or Printed Name

<div style="display: flex; justify-content: space-between;"> <div style="text-align: left;"> P24116.P02   13281 U.S. PTO  103103 </div> <div style="text-align: center;"> <b>FEE TRANSMITTAL</b> </div> </div>		<b>Complete if Known</b>	
		Application Number	Not Yet Assigned
		Filing Date	Concurrently Herewith
		First Named Inventor	Yutaka TAKAKUBO
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
TOTAL AMOUNT OF PAYMENT (\$) <b>810.00</b>		Attorney Docket Number	P24116

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																																
<p>1. <input checked="" type="checkbox"/> The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM &amp; BERNSTEIN, P.L.C.</u></p> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) </div> <div style="width: 48%;"> <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)   <input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27. </div> </div> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Credit Card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <p style="text-align: center;"><b>FEE CALCULATION (fees effective 10/01/03)</b></p> <p><b>1. FILING FEE</b></p> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1001</td><td>770</td><td>2001</td><td>385</td><td>Utility filing fee</td><td><u>770.00</u></td></tr> <tr><td>1002</td><td>340</td><td>2002</td><td>170</td><td>Design filing fee</td><td>_____</td></tr> <tr><td>1003</td><td>530</td><td>2003</td><td>265</td><td>Plant filing fee</td><td>_____</td></tr> <tr><td>1004</td><td>770</td><td>2004</td><td>385</td><td>Reissue filing fee</td><td>_____</td></tr> <tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td>_____</td></tr> <tr> <td colspan="5"><b>SUBTOTAL (1) (\$)</b></td> <td><b><u>770.00</u></b></td> </tr> </tbody> </table> <p><b>2. 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late filing fee or oath	_____	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	_____	1053	130	1053	130	Non-English specification	_____	1812	2,520	1812	2,520	For filing a request for reexamination	_____	1804	920*	1804	920*	Requesting publication of SIR	_____	1805	1,840*	1805	1,840*	Prior to Examiner action	_____	1251	110	2251	55	Requesting publication of SIR after Examiner action	_____	1252	420	2252	210	Extension for response within 1st month	_____	1253	950	2253	475	Extension for response within 2nd month	_____	1254	1,480	2254	740	Extension for response within 3rd month	_____	1255	2,010	2255	1,005	Extension for response within 4th month	_____	1401	330	2401	165	Extension for response within 5th month	_____	1402	330	2402	165	Notice of Appeal	_____	1403	290	2403	145	Filing a brief in support of an appeal	_____	1451	1,510	1451	1,510	Request for oral hearing	_____	1452	110	2452	55	Petition to institute a public use proceeding	_____	1453	1,330	2453	665	Petition to institute a public use proceeding	_____	1501	1,330	2501	665	Petition to revive unavoidably abandoned application	_____	1502	480	2502	240	Petition to revive unintentionally abandoned application	_____	1503	640	2503	320	Utility issue fee (or reissue)	_____	1460	130	1460	130	Design issue fee	_____	1807	50	1807	50	Plant issue fee	_____	1806	180	1806	180	Petitions to the Commissioner	_____	8021	40	8021	40	Petitions related to provisional applications	_____	1809	770	2809	385	Submission of IDS	_____	1810	770	2810	385	Recording each patent assignment per property (times number of properties)	<u>40.00</u>	1801	770	2801	385	Filing a submission after final rejection (37 CFR 1.129(a))	_____	1802	900	1802	900	For each additional invention to be examined (37 CFR 1.129(b))	_____					Request for Continued Examination (RCE)	_____					Request for expedited examination of a design application	_____	Other fee (specify) _____					_____	Other fee (specify) _____					_____	<b>SUBTOTAL (3) (\$)</b>					<b><u>40.00</u></b>
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1460	130	1460	130	Design issue fee	_____																																																																																																																																																																																																																																																																																																												
1807	50	1807	50	Plant issue fee	_____																																																																																																																																																																																																																																																																																																												
1806	180	1806	180	Petitions to the Commissioner	_____																																																																																																																																																																																																																																																																																																												
8021	40	8021	40	Petitions related to provisional applications	_____																																																																																																																																																																																																																																																																																																												
1809	770	2809	385	Submission of IDS	_____																																																																																																																																																																																																																																																																																																												
1810	770	2810	385	Recording each patent assignment per property (times number of properties)	<u>40.00</u>																																																																																																																																																																																																																																																																																																												
1801	770	2801	385	Filing a submission after final rejection (37 CFR 1.129(a))	_____																																																																																																																																																																																																																																																																																																												
1802	900	1802	900	For each additional invention to be examined (37 CFR 1.129(b))	_____																																																																																																																																																																																																																																																																																																												
				Request for Continued Examination (RCE)	_____																																																																																																																																																																																																																																																																																																												
				Request for expedited examination of a design application	_____																																																																																																																																																																																																																																																																																																												
Other fee (specify) _____					_____																																																																																																																																																																																																																																																																																																												
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<b>SUBTOTAL (3) (\$)</b>					<b><u>40.00</u></b>																																																																																																																																																																																																																																																																																																												

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature	<i>Leslie J. Paperner Reg. No. 33,329</i>	Deposit Account User ID	
	Date <u>10/31/03</u>		

P24116.A01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yutaka TAKAKUBO

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : SCANNING OPTICAL SYSTEM

**COVER LETTER REGARDING EXECUTED APPLICATION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on October 31, 2003, and pages 1 - 39 of the specification (with Figures 1 - 11) which were forwarded to the undersigned by Applicant's Japanese representative on October 30, 2003. Further, the undersigned has been advised by the Japanese representative that the inventor had reviewed the application before executing the declaration.


Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

P24116.A01

Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the below listed number.

Respectfully submitted,  
Yutaka TAKAKUBO

  
Bruce H. Bernstein  
Reg. No. 29,027

*Reg No.  
33,329*

October 31, 2003  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, Virginia 20191  
(703) 716-1191